

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

P99,2247

First Named Inventor or Application Identifier

Katsuhisa Aratani et al,

Express Mail Label No: #EL412789621US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 24]
2. ☒ Drawing(s) (35USC 113) [Total Pages 1]
3. ☒ Declaration and Power of Attorney [Total Pages 2]

- a. ☐ Newly executed declaration (Original copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

### [Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
12. ☐ Certified Copy of Priority Document(s)
13. ☐ Other:

### 14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: 1

### CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$760.00
TOTAL CLAIMS 20	9			
INDEPENDENT CLAIMS 3	6	3	\$78.00	\$234.00
ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO				
			TOTAL FILING FEE ->	\$994.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 994.00 to cover the filing fee is enclosed.

### 15. CORRESPONDENCE ADDRESS

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DATE: October 29, 1999

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EL412789621US

Date of Deposit: October <sup>29</sup>~~28~~, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Attorney Docket Number: P99,2247

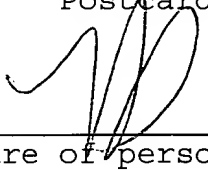
Title: THIN FILM FORMATION USE SPUTTERING TARGET MATERIAL, THIN FILM FORMED USING SAME, AND OPTICAL RECORDING MEDIUM

Applicant: Aratani et al.

Enclosed are the following documents:

Specification;  
Unexecuted Declaration and Power of Attorney;  
1.41 Letter (for filing under attorney signature);  
Application filing fee; and

Postcard.

  
\_\_\_\_\_  
Signature of person mailing documents and fees

# HILL & SIMPSON

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October 28, 1999  
29

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Washington, D.C. 20231

RE: Proposed Patent Application for:  
**KATSUHISA ARATANI**  
**TAKASHI UENO**

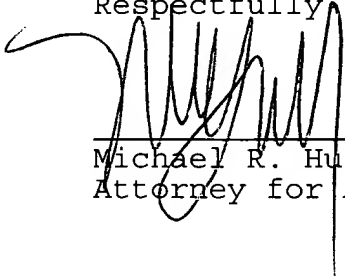
Entitled: **THIN FILM FORMATION USE SPUTTERING TARGET**  
**MATERIAL, THIN FILM FORMED USING SAME, AND**  
**OPTICAL RECORDING MEDIUM**

Attorney Docket No. P99,2247

Sir:

Under the provisions of 37 CFR 1.41 (c), I am filing the attached application with 9 Claims and 1 page of drawings and filing fee on behalf of **KATSUHISA ARATANI** and **TAKASHI UENO** and request that the application papers be assigned a serial number and filing date, pursuant to the provisions of 1.53 (b) and 1.53 (d).

Respectfully submitted,

  
\_\_\_\_\_  
Michael R. Hull (Reg. No. 35,902)  
Attorney for Applicants